

House keeping

This webinar will be recorded.

Please keep your videos off and microphones on mute.

Please ask questions in the chat.

Remember to fill in the feedback form so we can make continual improvements.



Application Webinar

How to submit your application

Application Window: 13th December 2021 – 5pm on 14th January 2021

nationalgridESO

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Please note that the rules outlined in the Allocation Framework for AR4 and the Contracts for Difference (Allocation) Regulations take precedence over this guidance presentation and participants are encouraged to familiarise themselves with these rules, and if appropriate seek legal advice, before proceeding with an application.

Support and Guidance available:

- We have published a draft version of the guidance document for Allocation Round 4 (AR4).
- Taking on board the feedback that we have received.
- We will be publishing our Allocation Round 4 Guidance Document within the next couple of weeks.
- Government publications.
- CfD Microsite – Delivery Partner website for AR4 – Central resource hub.
cfdallocationround.uk
- EMR Delivery Body Website – Application Portal – Guidance specific to allocation process.
emrdeliverybody.com

AGENDA

- Before you start your application
- How to create your application and submit
- Important things to remember
- Overview of Schedule 5 and what the DB will be looking for
- Opportunity to ask questions

Before you start your application

- Register
- Prepare Evidence
- Check Operating System

Before you start your application: Register on the Application Portal

Registration is open from **4th October 2021 until 14th January 2022**. It is advised to register as soon as possible to avoid any delays in the registration process. Our turnaround time to process a registration request is currently 5 working days.

When you register on the Delivery Body application portal, you will be required to submit your Companies House registration number. The details which are held on the Companies House Register will be used to verify your company and the verified Company Director or Secretary. This will need to be kept up-to-date during the process of the round as we are only able to correspond with the Main Admins.

For more detailed information please see the CfD Company Registration and User Management guide which can be found on the Electricity Market Reform Delivery Body website: <https://www.emrdeliverybody.com/CfD/Round-4.aspx>

Before you start your application: Documents you may need to prepare

Where applicable

- **Exemption Certificate** – if any part of your CfD Unit overlaps with any excluded site
- **Supply Chain Approval Certificate** – for applicants with projects of 300MW or more
- **Certificate of Incorporation** with the correct Company Registration Number
- **VAT Registration Certificate**
- **Map of CfD Unit**
- **Planning Decision Notice** – for each Applicable planning consent that applies
 - Development Order under section 114 of Planning Act
 - Transport and Works Act Order under section 3 of the Transport and Works ACT 1992
 - Planning Permission under Part 3 of the Town and Country Planning Act 1990 (England and Wales) or Act 1997 (Scotland).
 - Section 36
 - Marine Licence under Part 4 of the Marine and Coastal Act 2009 (England and Wales) or in Scottish marine are Part 4 of the Marine (Scotland Act 2019)
- Signed Agreement/Offer acceptance between the owner of the CfD Unit and the owner of Transmission/Distribution System
- Distribution Offer signed by Distribution Network Operator (DNO) and Generator's signed offer of acceptance
- Private Network Offer signed by Private Network Operator and Generator

Before you start your application: Tech Specific documents (1)

Advanced Conversion Technologies (ACT)

- Copy of process flow diagram demonstrating that the CfD Unit will meet the Physical Separation Requirement defined in most recent published version of the CfD Standard Terms and Conditions.

Remote Island Wind (RIW)

- Cabling Requirements: Upload evidence demonstrating that the relevant CfD Unit is expected. Schematic Diagram.

Offshore Wind (fixed bottom)

- Sequential Target Commissioning Dates (entry not upload for with a phased delivery)
- Provisional Capacity Estimate for all phases (where applicable and entry not upload)
- Crown Estate Agreement for Lease (this needs to cover both the OFTO and the generating unit)

Before you start your application: Tech Specific documents (2)

Floating Offshore Wind

- Crown Estate Agreement for Lease (this needs to cover both the OFTO and the generating unit)
- Diagram to show that all turbines forming part of the relevant CFD unit are situated in offshore waters of at least 45 metres depth (measured from the seabed to chart datum) for Floating Offshore Wind Only.
- Directors signed declaration stating that the relevant CFD Unit will meet the Floating Offshore Wind conditions, specifically that:
 - The CFD Unit will not form part of a Phased Offshore Wind CFD Unit (or any other CFD Unit that is to be established or altered in phases of construction);
 - All turbines forming part of the CFD Unit will be mounted on floating foundations; and all turbines forming part of the CFD Unit will be situated in offshore waters of at least 45 metres depth (measured from the seabed to chart datum).

Before you start your application: Format of Additional Evidence

When submitting additional evidence, e.g. a Directors declaration or an agreement between two parties:

- Registered companies letterheaded paper
- Signed and dated by the Director(s) of the relevant parties

Before you start your application: System Requirements



Recommended PC Operating System:
Microsoft Windows
(e.g. Windows 2003 to Windows 10)

Recommended Internet Browsers:
Microsoft Edge, Google Chrome or Firefox



If multiple file uploads are not accepted:
Please compile files into a single PDF or ZIP

Maximum file size for uploads:
2GB

Mandatory file formats:
Microsoft Office files, PDF or JPEG
(e.g. .docx/.xlsx/.pdf)



A man with glasses and a beard is looking out a window at night. The scene is dimly lit with blue and purple tones. A yellow callout box is overlaid on the image, containing the text 'LIVE DEMO: HOW TO CREATE AND SUBMIT AN APPLICATION ON THE PORTAL'. The man is wearing a light-colored t-shirt with a palm tree logo on the chest. The background shows a city street at night with blurred lights and a building.

**LIVE DEMO:
HOW TO CREATE AND SUBMIT AN
APPLICATION ON THE PORTAL**

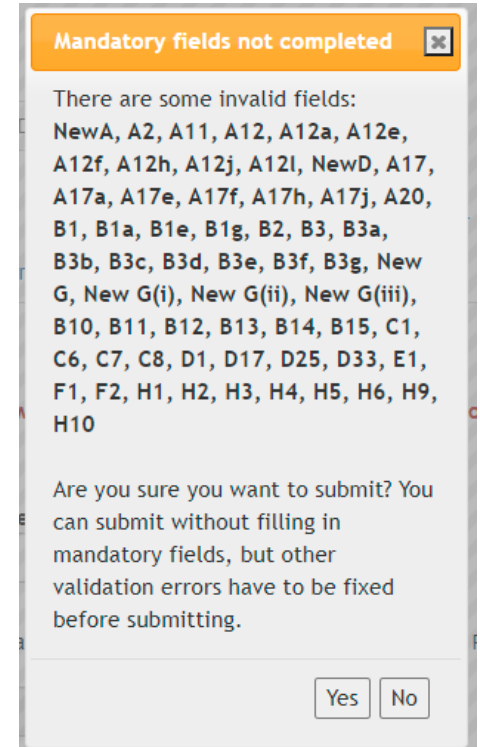
IMPORTANT

To ensure that your application meets the criteria and eligibility set out in the Allocation Framework and Contracts for Difference Regulations, it is essential that you provide the correct evidence that is relevant to your technology and your individual project requirements.

It will be your responsibility to check the form before submission to ensure that:

- All the mandatory fields have been completed. Before you confirm submission of an application a pop-up screen will show all the fields that are invalid.
- Check that declarations are completed.
- Check that all uploaded documents provided are signed and dated.

Once you have submitted your form and the application window closes you will not be able to make any changes to your application form and it will be assessed based on what you have submitted.



A man with glasses is looking out a window at night. The scene is dimly lit with blue and purple tones. A yellow callout box is overlaid on the right side of the image, containing text. The man is wearing a white t-shirt with a palm tree logo.

Overview of Schedule 5 and DB Checks

Assessment window: 17th January until 25th February 2022

Notification of Qualification: 25th February 2022

Schedule 5: Incorporation

Schedule 1 – 1, 2

The delivery body will check that:

- the **company registration number** is entered accurately in the application
- a copy of the **VAT Registration Certificate** and **Incorporation Certificate** are uploaded

Schedule 5: Non-receipt of funds under other Government support schemes

Regulation 14 and 18

In the application form, the Applicant must confirm the that:

- the application is not an excluded application under regulation 14
- if the Technology Type of the CFD Unit which is the subject of that Application is Energy from Waste with CHP, state that **no application** for accreditation has been made under the **Renewable Heat Incentive Regulations 2018**
- the CfD Unit is **not** accredited in the **Renewables Obligation**
- the CfD Unit has **not** received nor is receiving funding under the **NFFO**
- the CfD Unit is **not** in receipt of an existing **CfD** or **Investment Contract**
- the CfD Unit does **not** have an existing **Capacity Agreement** or a current application to the Capacity Market

Schedule 5: Applicable Planning Consents

Regulation 23, 24

The delivery body will need to have evidence of **all applicable planning consent(s)**, including a signed and dated Planning Decision Notice (where relevant).

Date of the application must be before the date on which the applicable planning consent expires. Where applicable planning consents have passed their expiration date, evidence that the issuing authority grants an extension.

Where the applicable planning consents specifies a technology that is different from the technology in the application, **evidence** of this.

Location specified in the application will need to match that specified in the applicable planning consents.

Capacity where the applicable planning consent sets out the capacity of the proposed CfD Unit, that the **capacity is equal to or more than the Initial Installed Capacity Estimate** of the CfD Unit specified in the application.

The **technology type** specified in the applicable planning consents appears to be the same of the CfD Unit specified in the application form.

Schedule 5: Connection Agreements

Regulation 25

In the Application, the Applicant must explain whether— - a Direct Connection applies or is to apply to the relevant CFD Unit and where the connection is or will be:

- (a) to the national Transmission System for Great Britain, the Connection Agreement entered into secures (via either firm or non-firm capacity agreement) Transmission Entry Capacity for the CFD Unit at least equal to 75% of the Initial Installed Capacity Estimate of the CFD Unit; or
- (b) to the Distribution System, the Connection Agreement entered into permits (via either a firm or non-firm capacity agreement) at least 75% of the Initial Installed Capacity Estimate of the CFD Unit to connect to the Distribution System; - a Partial Connection applies or is to apply to the relevant CFD Unit and no other Connection Agreement applies or is to apply to the CFD Unit; or - no Connection Agreement applies to the relevant CFD Unit. –

Where the connection agreement specifies a technology, which is different from the technology that the application relates to, evidence must be provided to clarify this.

Where the Applicant has specified that a Private Network Use Agreement applies, such Private Network Use Agreement states the exporting capacity to that private network and the capacity in the private network that is accessible under the agreement.

Schedule 5: Statement in Relation to Supply Chains

Regulation 26

For a CfD Unit which has a threshold generating capacity of 300 megawatts or more a copy of statement issued by the Secretary of State (**Approval Certificate**) pursuant to Regulation 11 of the EMR (General) Regulations 2014 will need to be uploaded onto the portal.

The **name of CfD Unit** on application form must match that on the Approval Certificate.

The **date the application** is submitted falls within the time period specified in the Approval Certificate

Recap when filling in your application form

Accuracy of
information
captured in
form compared
to documents

All relevant
documents
uploaded

Target dates
are sequential

Applicable
Planning
Consents

All mandatory
fields
completed

Declarations

Connection
Agreements

Letter Headed
Paper



Q & A

NG ESO Update 24th November 2021:

A Q&A document is currently being pulled together and will be published shortly.



Thank you for your time.

For further information on the CfD Allocation Process
please visit: www.emrdeliverybody.com

For further information on the CfD Process please visit:
www.cfdallocationround.uk

Email: box.emr.cfd@nationalgrideso.com

T 01926 655300

Opening Hours: 09:00–17:00 Monday–Thursday, 09:00–16:00 Friday |

Faraday House, Warwick Technology Park, Gallows Hill, Warwick, CV34 6DA

Please remember to fill out the feedback form